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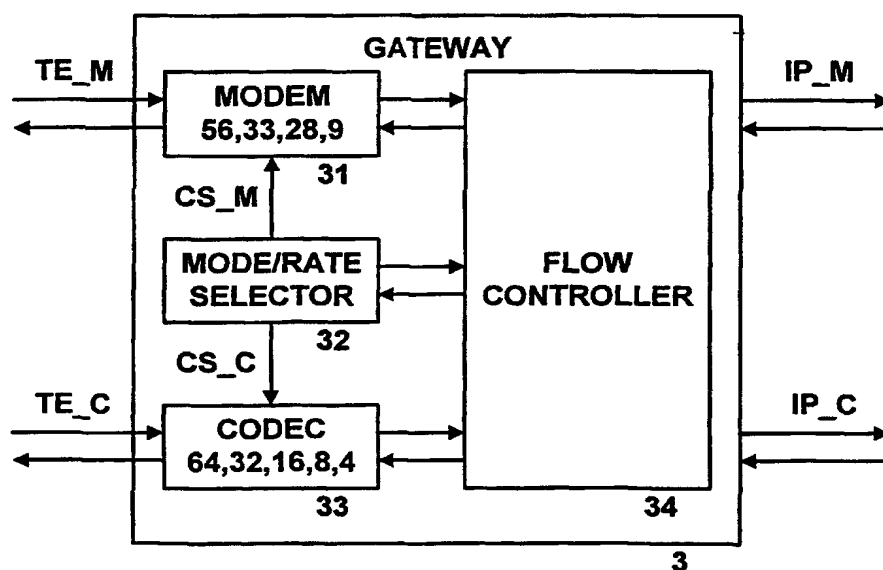
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(54) Title: ADAPTIVE RATE MATCHING FOR DATA OR SPEECH



(57) Abstract: The present invention discloses an interface establishing device (3) for transmitting data to and receiving data from a network, comprising transceiver means (31, 33) being operable with variable transfer rates, a detecting means (34) for detecting the load upon said network (4), and a control means (32) for adjusting the transfer rate of said transceiver means (31, 33) in response to the detected load. By this measure it is possible to adapt the transfer rate of a modem or a codec in response to the load or congestion of a network.



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ADAPTIVE RATE MATCHING FOR DATA OR SPEECHFIELD OF THE INVENTION

5 The present invention relates to an interface  
establishing means and a method for transmitting data to  
and receiving data from a network. In particular, the  
present invention relates to a gateway between two  
different networks and a method for operating such a  
10 gateway.

BACKGROUND OF THE INVENTION

In recent years, the Voice over IP (VoIP) technology was  
15 developed in which a phone call is sent via an IP-based  
network (IP network, Internet Protocol network) such as  
the Internet, for example. By sending the signal via such  
a network instead of a conventional long distance  
carrier, it is possible to reduce the costs involved for  
20 such a call.

A general architecture according to the VoIP technology  
is shown in Fig. 1. For the purpose of the following  
description, the left side of the IP network 4 in Fig. 1  
25 is referred to as the near-end side, while the right side  
is referred to as the far-end side.

A first communication device 1 such as a mobile phone or  
a fixed phone is connected to a first network control  
30 device 2 for controlling a first network (near-end  
network) to which the mobile phone 1 is connected. The  
first network control device 2 is, for example, a mobile  
services switching center (MSC). A speech signal is sent  
at a bit rate of, e.g., 64 kbps from the first network

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control device 2 to a first gateway 3 which connects the near-end network with the IP network 4. The speech signal can be a 64 kbps PCM channel, for example.

5 In order to achieve capacity saving on the IP link, the speech is compressed in the gateway. This compression is performed by a codec (coder-decoder, transcoder, code converter) arranged in the first gateway 3. A typical compression ratio for speech is, for example, 8:1. Since  
10 the function of the codec itself is not important to the present invention, a detailed description thereof is omitted here.

The speech signal is compressed, for example, to a bit  
15 rate of 8 kbps. The compressed speech signal is sent via the IP network 4 to a second gateway 5. This second gateway also comprises a codec (coder-decoder). However, this codec decompresses the compressed signal received from the IP network 4 to restore the original rate (i.e.,  
20 in the above example, 64 kbps). The decompressed speech signal is sent to a second network control device 6 for controlling a second network (far-end network) to which a phone 7 as a second communication device is connected. The second network control device 6 can be a mobile  
25 services switching center (MSC) in case the phone 7 is a mobile phone or a fixed services switching center (FSC) in case the phone 7 is a fixed phone. The second network control device 6 sends the signal to the destination phone 7.

30

As described above, the speech signal is compressed and decompressed. In case of a speech signal, this can be effected by using a codec, as described above. The compression serves to save capacity in the IP network.

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Furthermore, by compressing the signal, the transmission is not so sensitive to dropped and/or delayed packets as in the case of a non-compressed transmission.

5 Fax and dial-up modems use the same 64 kbps PCM signal as the speech signal does. If such signals (in the following referred to as modem signals) would be processed in the same way as the speech signal (i.e., transmitted via the codec), the modem connections could be blocked  
10 completely. For this reason, the gateways 3 and 5 also comprise modems to handle such signals.

In the above situation, high load and even congestion in the IP network is likely to happen, since, for example,  
15 the IP network capacity is not overdimensioned in great extent. This will be in particular a problem in case of a further application of the IP telephony in general.

In this situation, any delay caused by the congestion  
20 should be minimised. Thus, there is no time for retransmissions of lost packets. Therefore, the UDP (User Datagram Protocol) is commonly used instead of TCP (Transmission Control Protocol). UDP is a rather simple protocol and has a minimum protocol handling. According  
25 to this protocol, everything received from the application is sent via the network without any complicated checks. Furthermore, no check is performed whether all data packets have been received by the destination. Thus, this protocol provides a fast, but not  
30 very safe transmission.

On the other hand, TCP includes a flow control mechanism. Therefore, this protocol is safer than UDP but requires more protocol handling and more time. This results in a

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higher data amount required for the handling of the protocol.

In case of an overload or a congestion, UDP is not  
5 capable to detect whether any failure in the transmission  
have occurred. Moreover, in case of a congestion the  
situation in the IP network is worsen by UDP since the  
data packets are transferred via the network with a  
constant rate.

10

In order to make the transmission safer when using UDP,  
the receiving end can send back in its payloads the  
information received, such that it can be checked whether  
the data have been received safely. Alternatively, the  
15 UDP could be provided with an acknowledge mechanism like  
RTCP (Real Time Control Protocol) messages. However,  
these possibilities both lead to a higher amount of data  
to be sent via the network, which worsens the congestion  
situation.

20

Thus, by using the conventional techniques, in case of an  
overload and congestion of the network, the transmission  
quality is decreased since packets are delayed or even  
get lost.

25

#### SUMMARY OF THE INVENTION

Thus, the object underlying this invention resides in  
30 removing the above drawbacks and to enable a sufficient  
transmission quality even in case of congestion of a  
network.

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This object is solved by an interface establishing device for transmitting data to and receiving data from a network, comprising transceiver means being operable with variable transfer rates, a detecting means for detecting  
5 the load upon said network, and a control means for adjusting the transfer rate of said transceiver means in response to the detected load.

Alternatively, the above object is achieved by a method  
10 for transmitting data to and receiving data from a network, comprising the steps of detecting the load on said network, and adjusting a transfer rate of a transceiver means in response to said detected load.

15 Thus, it is possible to adapt the transfer rate of a modem or a codec in response to the load or congestion of a network.

That is, in the interface establishing device (gateway)  
20 and method for transmitting data to and receiving data from a network according to the present invention, the transfer rate (data rate) can be adapted to the present load on the network. That is, in case a congestion occurs, the transfer rate can be set on a lower value  
25 such that data packets can be safely transmitted via the network.

Thus, the transmission quality can be maintained on a sufficient level, since no packet delay or even losses  
30 can occur. Only the bandwidth of the speech signal is slightly reduced due to the decreased transfer rate. That is, the speech quality might be reduced slightly, but the end-to-end link stays at least available.

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Furthermore, by using the device and the method according to the present invention, it is possible for the IP network to recover faster from a congestion. This is  
5 because the transfer rate, i.e., the data amount transmitted per time unit is reduced, such that the load on the network is decreased.

Further advantageous developments of the present  
10 invention are stated in the enclosed dependent claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be more readily understood  
15 with reference to the accompanying drawings in which:

Fig. 1 shows the basic structure of the VoIP technique;

Fig. 2 shows a gateway according to an embodiment of the  
20 present invention; and

Fig. 3 shows a process carried out in the gateway according to the embodiment of the invention.

25

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

The idea of the present invention is to use the congestion indication (or load indication), which is  
30 available from the flow control information (e.g., for example from RCTP reports) to control the modem and/or codec transfer rate adaptively. That is, the transfer rate is controlled in such a manner that it is reduced in case a congestion is present and packets get lost and

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that it is increased in case no congestion is present and all packets are safely received.

In the following, an embodiment of the invention is  
5 described with reference to Figs. 2 and 3.

In Fig. 2, a gateway 3 according to the present embodiment is shown which can be used in the basic VoIP architecture illustrated in Fig. 1. As shown, the gateway  
10 3 comprises a modem 31, a codec 33 and a flow controller 34.

The modem 31 serves to compress and decompress fax and/or modem signals TE\_M which are transferred to the side of a  
15 user terminal. The modem 31 is capable of transmitting with a plurality of different predetermined transfer rates (data rates). For example, the modem could provide transfer rates of 56 kbps, 33 kbps, 28 kbps and 9 kbps. The different rates can be selected by a modem control  
20 signal CS\_M. The output signal (IP\_M) is transferred to the IP network 4 via a flow controller 34.

The codec 33 serves to compress and decompress speech signals TE\_D which are transferred to the side of a user  
25 terminal (in the configuration of Fig. 1, the phone 1). As the modem 31, the codec 33 is capable of transmitting with a plurality of different predetermined transfer rates (data rates). For example, the codec could provide transfer rates of 64 kbps, 32 kbps, 16 kbps, 8 kbps and 4  
30 kbps. The different transfer rates can be selected by a codec control signal CS\_C. The output signal (IP\_C) is transferred to the IP network 4 via the flow controller 34.



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The flow controller 34 serves basically to control the data stream sent to and received from the IP network 4. According to the present embodiment, the flow controller 5 34 also serves to detect the load on the network. The detection can be effected, for example, by using RTCP reports. For example, the (proprietary) RTP/TCP payloads can be used to transfer the number of transmitted/received packets between the gateways 3 and 10 5.

Furthermore, the load can be detected by monitoring Frame Relay's Forward/Backward Explicit Congestion Notification (FECN/BECN) bits, ATM (Asynchronous transfer mode) 15 reports etc.

Moreover, a test packet, for example, an IP PING packet can be sent via the IP network 4 to a predetermined destination, for example to the gateway 5, and then 20 received back from this destination. The occurred delay (round-trip delay) can then be analysed. By such an analysis, a delay can be measured. If this delay suddenly increases from an initially measured level, this indicates a congestion.

25 The flow controller 34 transmits corresponding detection signals to a mode/rate selector 32. According to this detection result, the mode/rate selector 32 sets (adjusts) the transfer rate of the modem 31 and the codec 30 33. For example, the mode/rate selector 32 sets the rate for the codec 33 according to the detected load on the network on 64 kbps PCM, GSM Full Rate (16 kbps) or GSM Half Rate (8kbps). On the other hand, in case of a modem

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call this information can be used to adjust the maximum transfer rate of the modem 31.

Furthermore, this information can also serve to adjust  
5 the maximum transfer rate between a users modem (which can be arranged in the phone 1 according to Fig. 1, for example) and the modem 31 in the gateway 3 in range of 33,6 kbps, 28,8 kbps, 14,4 kbps and 9,6 kbps by commanding the modem 31. Hence, the amount of data coming  
10 from the user towards the IP network 4 can be controlled according to this embodiment.

Fig. 3 shows a flow chart in which a process according to the present embodiment is illustrated.

15

In step S1, the load on the IP network at present is detected. This information is used in step S2, in which the modem transfer rate for the modem 31 and the codec transfer rate for the codec 33 are selected. In step S3,  
20 the modem transfer rate determined in this manner is set in the modem 31. Furthermore, in step S4 the determined codec transfer rate is set in the codec 33.

Thus, the transfer rate of the modem 31 and/or the codec  
25 33 (which are examples for a transceiver means) can be adapted to the load and the congestion on the IP network.

In the above described embodiment, the modem and the codec have been described as comprising a plurality of  
30 different, predetermined transfer rates. However, preferably the transfer rate can be freely (i.e., continuously) adjusted. The more modes (rates) in the modem/codec are, the smoother the transfer rates can be adapted to the load generated in the IP network. Thus,

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preferably, a variable bit rate speech codec like an Adaptive Multi Rate (AMR) codec could be used for speech.

In the following, a second embodiment is described, which  
5 is a modification of the first embodiment. According to the first embodiment, fixed predetermined transfer rates are set in response to the detected load on the network for both the codec 33 and the modem 31 in the same way. However, it is possible that a lot of non-speech data  
10 like fax signals are transmitted via the modem. In this case, a high transmission quality in terms of speed is not as important as in speech signals, since a delay of data packets relating to a fax transmission only  
lengthens the time of transmission. In contrast thereto,  
15 delay of data packets relating to a speech transmission affect the speech quality greatly.

Thus, according to this embodiment, the transfer via the modem and via the codec are provided with different  
20 priorities. That is, in case of an overload or congestion of the IP network, the codec 31 gets a higher transfer rate since the codec mainly transfers speech signals. On the other hand, the modem 33 gets a lower transfer rate since the modem transfers also non-speech signals.

25 Moreover, as a further modification of the above described embodiments, it is also possible to simplify the detection performed by the flow controller 34. Namely, it can be assumed that the load on the IP network  
30 does not change abruptly. Thus, it can be sufficient to perform the detection only once in a predetermined period, for example, in every five minutes. For this, a timer can be inserted in the flow controller 34 which outputs an interrupt at the desired time point. In

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response to this interrupt, the flow controller 34 performs the process as described with respect to Fig. 3.

Hence, the flow controller 34 does not always have to  
5 perform the detection and can be used for other operations.

The above description and accompanying drawings only illustrate the present invention by way of example. Thus,  
10 the embodiments of the invention may vary within the scope of the attached claims.

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Claims:

1. An interface establishing device for transmitting data to and receiving data from a network (4), comprising  
5 transceiver means (31, 33) being operable with variable transfer rates,  
a detecting means (34) for detecting the load upon said network (4), and  
a control means (32) for adjusting the transfer rate  
10 of said transceiver means (31, 33) in response to the detected load.
2. The interface establishing device according to claim 1, wherein in said transceiver means (31, 33) comprise a  
15 plurality of predetermined transfer rates and said control means (32) is adapted to select one of said predetermined transfer rates in response to said detected load.
- 20 3. The interface establishing device according to claim 1, wherein said transceiver means comprises a plurality of transceiver means (31, 33).
4. The interface establishing device according to claim  
25 3, wherein said control means (32) is adapted to adjust for each of said transceiver means (31, 33) a different transfer rate.
5. The interface establishing device according to claim  
30 3, wherein said control means (32) is adapted to provide each of said plurality of transceiver means (31, 33) with different priorities and to adjust a transfer rate of a transceiver means (33) with a higher priority on a higher

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value than the transfer rate of a transceiver means (31) with a lower priority

6. The interface establishing device according to one  
5 of the previous claims, wherein said transceiver means comprises a modem (31) for modulating and demodulating of non-speech data (TE\_M, IP\_M).

7. The interface establishing device according to one  
10 of the previous claims, wherein said transceiver means comprises a codec (33) for encoding and decoding of speech data (TE\_C, IP\_C).

8. The interface establishing device according to claim  
15 5, wherein said transceiver means comprises a modem (31) for modulating and demodulating of non-speech data (TE\_M, IP\_M) and a codec (33) for encoding and decoding of speech data (TE\_C, IP\_C), wherein said control means (32) adapted to provide said codec (33) with a higher priority  
20 and to adjust a higher transfer rate for the codec (33) than for the modem (31).

9. The interface establishing device according to one  
25 of the previous claims, wherein said control means (32) is adapted to send a test packet to a predetermined destination over said network (4), receive said test packet back from said predetermined destination and analyse the delay occurred in order to determine the load on said network.

30  
10. A method for transmitting data to and receiving data from a network (4), comprising the steps of  
detecting (S1) the load on said network (4), and

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adjusting (**S2**, **S3**, **S4**) a transfer rate of a transceiver means (**31**, **33**) in response to said detected load.

5 11. The method according to claim 10, wherein in said transceiver means (**31**, **33**) comprise a plurality of predetermined transfer rates and in said adjusting step (**S2**, **S3**, **S4**) one of said predetermined transfer rates is selected in response to said detected load.

10

12. The method according to claim 10 or 11, wherein said transceiver means comprises a plurality of transceiver means (**31**, **33**), and in said adjusting step (**S2**, **S3**, **S4**) different transfer rates are set for each of said

15 transceiver means (**31**, **33**).

13. The method according to claim 12, further comprising the steps of

20 providing different priorities for each of said plurality of transceiver means (**31**, **33**) and

adjusting a transfer rate of a transceiver means (**33**) with a higher priority on a higher value than the transfer rate of a transceiver means (**31**) with a lower priority.

25

14. The method according to one of the claims 10 to 13, wherein said transceiver means comprises a modem (**31**) for modulating and demodulating of non-speech data (**TE\_M**, **IP\_M**).

30

15. The method according to one of the claims 10 to 14, wherein said transceiver means comprises a codec (**33**) for encoding and decoding of speech data (**TE\_C**, **IP\_C**).

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16. The method according to one of the claims 10 to 13,  
wherein said transceiver means comprises a modem (**31**) for  
modulating and demodulating of non-speech data (**TE\_M**,  
5 **IP\_M**) and a codec (**33**) for encoding and decoding of  
speech data (**TE\_C**, **IP\_C**), further comprising the steps of  
providing said codec (**33**) with a higher priority and  
adjusting a transfer rate of the codec (**33**) on a  
higher value than the transfer rate of the modem (**31**).

10

17. The method according to one of the claims 10 to 16,  
further comprising the steps of  
sending a test packet to a predetermined destination  
over said network (**4**);  
15 receiving said test packet back from said  
predetermined destination; and  
analysing the delay occurred in order to determine  
the load on said network.



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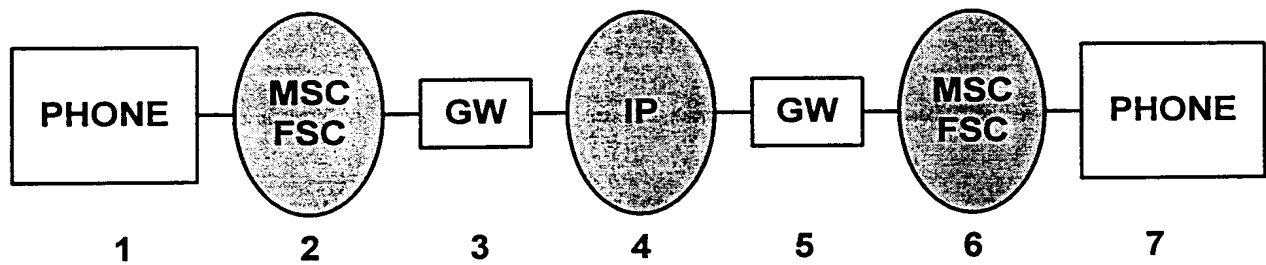


FIG. 1

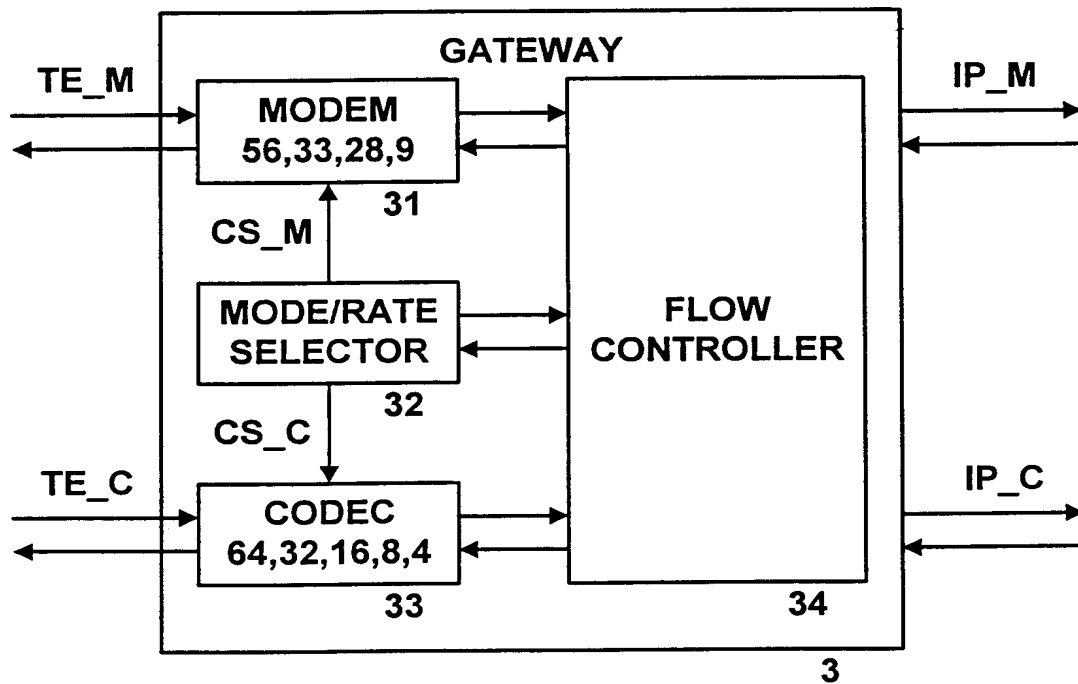
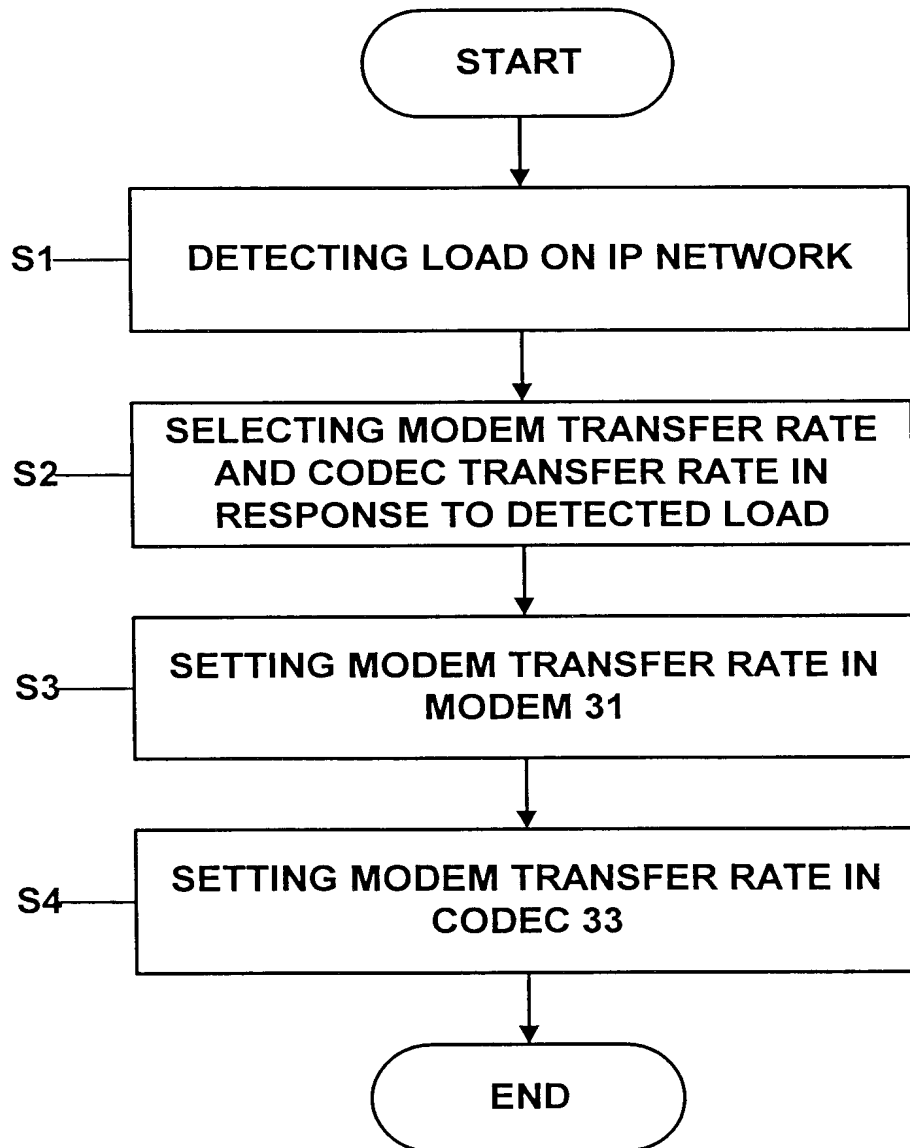


FIG. 2

**FIG. 3**

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 99/03516

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 H04Q11/04 H04L12/56

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Y	---	5,6,9, 13,14,17
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

° Special categories of cited documents :

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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 99/03516

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category <sup>2</sup>	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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